

# Effectiveness of Non-Pharmacologic Strategies for Parental Smoking Cessation to Protect Children: A Meta-Analytic Review



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## ABSTRACT

**Aims:** This meta-analysis aims to synthesize available evidence from published studies on the effectiveness of parental non-pharmacologic smoking cessation programs which aim to reduce children's exposure to secondhand smoke.

**Methodology:** A database search using The Cochrane Library, PubMed®, Medline, Embase, and Google Scholar, was done by the investigators. This study included 20 randomized controlled trials published up to 2020. Pooled estimates of risk ratio (RR) for quit rates were computed using the random effects model.

**Results:** Overall, the quit rate among those who underwent parental smoking cessation was 13.4% while the quit rate for controls was 11.9%. The pooled RR demonstrated that the parental smoking cessation program was significantly associated with higher quit rates (RR = 1.22, 95%CI = 1.01 to 1.46,  $p$ -value = 0.04). The studies demonstrated moderate heterogeneity only ( $I^2 = 54%$ ). Among studies published prior to year 2000, no significant difference was observed between parental smoking cessation program and control (RR = 1.02, 95% CI = 0.62 to 1.70,  $p$ -value = 0.93). On the other hand, the pooled RR demonstrated that among

studies published after 2020, parental smoking cessation program was significantly associated with higher quit rates (RR = 1.27, 95%CI = 1.03 to 1.56,  $p$ -value <0.0001). Among studies with self-help interventions, parental smoking cessation program has no additional benefit on quit rates (RR = 1.20, 95%CI = 0.94 to 1.58,  $p$ -value = 0.14). Among studies with biofeedback intervention also, no significant difference was observed (RR = 1.27, 95% CI = 0.86 to 1.89,  $p$ -value = 0.23).

**Conclusions:** This meta-analysis demonstrated sufficient evidence that non-pharmacologic interventions for parental smoking cessation are effective.

**Key words:** smoking cessation, second-hand smoke, family interventions, non-pharmacologic, meta-analysis

## INTRODUCTION

In a report by the World Health Organization (WHO), smoking kills 8 million people a year. [1] Efforts to prevent smoking-related morbidity and premature mortality depends on prevention programs, policies protecting people from secondhand smoke exposure, and effective smoking cessation programs. Regardless of their age or how long they have been smoking, one of the most important actions people can take to improve their health is to quit smoking. Smoking cessation has

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proved to improve one's cardiovascular, respiratory, and reproductive health.[2] Moreover, quitting smoking prevents tobacco exposure to non-smokers. In non-smokers, carbon monoxide levels in the blood decrease after several days after the time of quitting.[2] However, quitting smoking is very challenging, with most of the quitters relapsing over time. The causes may vary but the most common are due to stress, weight gain, and symptoms of nicotine and tobacco withdrawal.[3] Despite this, the progress in global tobacco control is still struggling. Creating an environment that facilitates smoking cessation is important such as providing counselling in primary care settings and operating national toll-free quit telephone lines.[4]

A meta-analysis concluded that increased risk perceptions should be included in health behavior-specific theories since there was an association found with healthier behaviors and increased risk perception.[5] This may also be applied in smoking cessation intervention programs. Another important theoretical concept to consider is social support, as this may be helpful in understanding smoking cessation in families.[6] However, there should be a coherent rationale for adopting a family-based approach to aid cessation in families. Concepts such as these may be particularly helpful when a smoker considers the health of his/her own family, such as the benefits it brings to children: reduced risk of cardiovascular diseases, lung cancer, and lower risk of sudden infant death syndrome (SIDS).[2]

Various studies have shown a parent's concern for his/her child's health is a strong motivation for quitting smoking. Different tools to aid in smoking cessation have been utilized, such as cognitive-behavioral approaches, self-help materials, counseling, and biofeedback.[7] A 2012 meta-analysis with 18 trials has shown that smoking cessation interventions tailored to parents who smoke are modestly effective.[8] Further research on how these interventions can be improved would help increase its effectiveness, such as by including tobacco prevention intervention aimed at children.[9] By promoting cessation in smokers and preventing tobacco initiation in children, secondhand smoke exposure also decreases and provides better health outcomes for both smokers and non-smokers.

Various interventions have proven to be effective in limiting children's secondhand smoke exposure at home. However, its efficacy is limited compared to

interventions aimed at parental smoking cessation. Studies have shown that parental smoking behavior has an influence on children's smoking behavior and is related to the persistence of children smoking.[10] This may be due to the accessibility of cigarettes to children, which increases their risk to smoke and usually persists long term.[11] Exposing children to tobacco smoke in their own homes from their parents is a risk factor in smoking initiation. In addition, parents who smoke not only harm their health, but also the health of their children, as they increase the risk of secondhand smoke exposure.[12] Respiratory diseases caused by secondhand smoke exposure have resulted in 15,000 children being hospitalized annually.[9] Therefore, it is important to promote smoking cessation in parents who are smokers as early as possible because this would lead to the prevention of tobacco initiation in children.

In this paper, we present meta-analyses of parental quit rates from trials that focused on protecting children from tobacco smoke exposure through parental cessation or modification of parental smoking patterns. The cessation among smoking parents of children was evaluated.

The aim of this study is to synthesize available evidence from published studies on the effectiveness of parental non-pharmacologic smoking cessation programs, which aim to reduce children's exposure to secondhand smoke. Other goals are to compare the parental quit rates between those who underwent smoking cessation programs versus those who did not and to determine which intervention components of parental smoking cessation programs have the most benefit.

## METHODOLOGY

A literature search from various search engines and electronic databases such as The Cochrane Library, PubMed®, Medline, Embase, and Google Scholar was done by the investigators. The search strategy: (smoking OR cigarette OR tobacco) AND (cessation OR control) AND (parental OR mother OR father OR maternal OR paternal) AND (children OR pediatric OR child OR infant) was used. The Medical Subject Headings (MeSH) was employed when searching a database where available. Backward searching of references cited in included studies was also done. Articles were reviewed and selected according to the set inclusion criteria.

Studies included are those smoking cessation interventions done among cigarette-smoking parents (father, mother or both aged 18 years and above) of pediatric age (ages of 0 to 18 years) in one of the following cohorts: well children (visiting well-child clinics and population cohorts), asthmatic children, or pediatric clinics or hospitals. Randomized control trials were included which compared the effectiveness of parental smoking cessation programs versus no intervention. The search was limited to studies written in English. Only original studies were included. Other forms of publications such as observational studies, case reports or series, reviews, letters, and editorials were excluded.

Full-text copies of studies to be included were saved in an online Google drive accessible to the investigators. The risk of bias scorings and extracted data from the studies were managed using the Review Manager (RevMan) 5.4 software. Two authors independently evaluated the abstracts generated by the search strategy for inclusion. Those that meet the inclusion criteria were retrieved as full-text versions. The full-text articles were then reviewed again based on the inclusion and exclusion criteria. The two authors then compared their list of included studies. Any discrepancies were compared and disagreements were resolved through discussion between the two authors. Two investigators independently extracted data from the full-text articles. The information needed includes the study design, description of smoking cessation program, patient outcomes studied, characteristics of the study population, setting, number of participants, method for patient selection, method of randomization and concealment of treatment allocation, patient drop-outs, length of observation, program provider, and intent of treatment. After data collection, the two investigators then compared their list of included studies. Any discrepancies were compared and disagreements resolved through discussion with the other review author.

The Cochrane Collaboration Risk of Bias Tool was used to assess the methodological quality of studies included in the meta-analysis. All the included randomized trials were evaluated based on the following: randomization, blinding, concealment of allocation, treatment of incomplete outcome data, selective reporting, and other biases. A rating of 'low risk of bias,' 'high risk of bias' or 'unclear risk of bias' was scored for each category. Two

investigators independently assessed each study. Discrepancies were compared and discussed until a consensus among the investigators was reached.

The pooled estimate of RR to represent quit rates was computed along with 95% confidence intervals (CIs). The point estimate of the RR was deemed significant if the  $p$ -value is  $<0.05$ . The Mantel-Haenszel fixed-effects model was used if heterogeneity was not high, otherwise the random-effects model was used.  $I^2$  statistics were used to assess heterogeneity (significant if  $>60\%$ ) and funnel plots used to assess the possibility of publication bias. Sub-group analyses were also conducted to explore heterogeneity. The authors used RevMan 5.4 for statistical analysis.

## RESULTS

The literature search resulted in a total of 1,936 non-duplicate articles for screening. After abstract screening, 32 articles were retrieved for full text review. Seven studies were further excluded because they were not comparative studies or involved pharmacologic interventions. Five articles were excluded since they did not report parental quit rates. A total of 20 studies were finally included in the meta-analysis. The study characteristics are summarized in Table 1.

Overall, the studies were of good quality and showed low risk for bias. None of the studies had blinding among participants and providers of intervention because there was no feasible method for blinding in this kind of study. In this kind of study, it is unlikely however that bias may arise when participants or personnel were aware of the intervention groups. About 30% of studies did not describe the method for allocation concealment and blinding of staff who assessed patient outcomes. None of the studies had high drop-out rates and none had selective outcome reporting. The details of risk of bias assessment can be seen in Figure 2.

Four studies have shown significantly higher quit rates among those who underwent parental cessation programs and the rest of the 16 studies showed no significant difference. Overall, the quit rate among those who underwent parental smoking cessation was 13.4% while the quit rate for controls was 11.9%. The pooled RR demonstrated that the parental smoking cessation program was significantly associated with higher quit rates

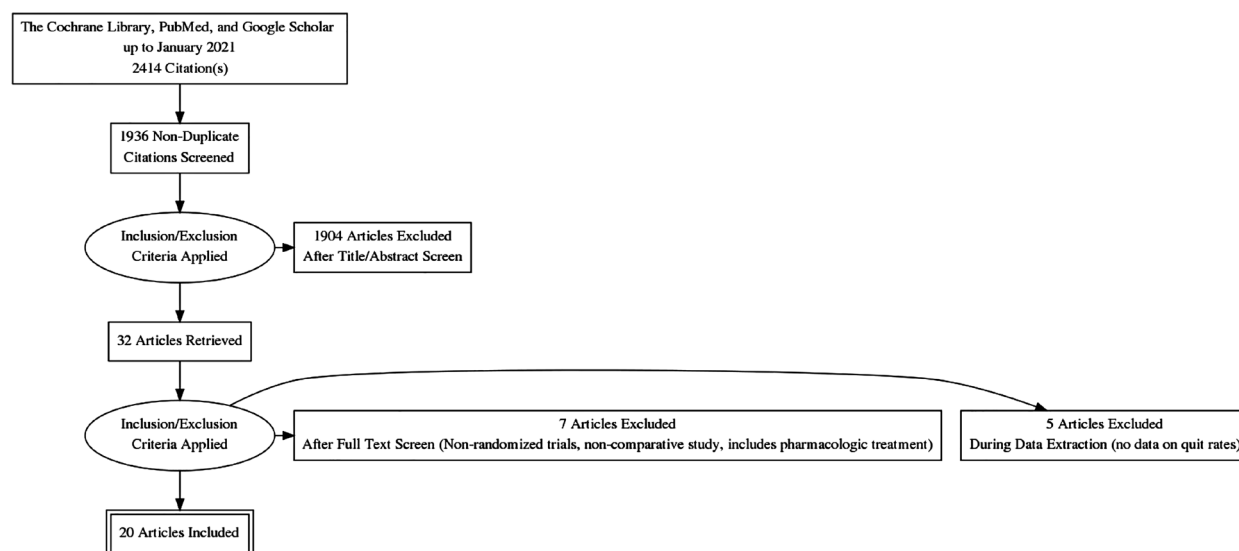
**Table 1.** Characteristics of included studies

Study	Age of Child at Recruitment	Child Cohort	Setting	Provider	No. of Sessions	Theory Based	Length of Observation	Primary Goal	Intervention Components
Abdulah et al (2005) [13]	5 years	Well	Well-baby clinic	Research assistant	3	Yes	6 months	Cessation	A,C
Abdulah et al (2015) [14]	<5 years	Well	Home	Health worker	6	Yes	6 months	Reduction, cessation	A,B,C,E
Borrelli et al (2016) [15]	3-17 years	Asthmatic, Well	Home	Clinical psychologists	2 educational home visits, 6 calls after home visits	No	12 months	Cessation	A,B,C
Chan et al (2005) [16]	Children	Hospital/ clinic visit	Hospital	Nurse	1	No	1 month	Cessation	B,C
Chan et al (2016) [17]	0-18 months	Well	Home	Nurse	5	Yes	12 months	Reduction, cessation	A,B,C,E
Curry et al (2003) [18]	Children	Hospital/ clinic visit	Pediatric	Nurse	4	No	12 months	Cessation	A,B,C
Eriksen et al (1996) [19]	6 weeks, 2 years, 4 years	Well	Pediatric	Clinic Staff	1	No	1 month	Reduction, cessation	A,B
Greenberg et al (1994) [20]	<6 months	Well	Home	Nurse	4	Yes	6 months	Reduction	A,B
Hovell et al (2002) [21]	3-17 years	Asthmatic	Home	Research assistant	7	Yes	12 months	Reduction	B
Hughes et al (1991) [22]	6-16 years	Asthmatic	Hospital and family home	Nurse	4	No	12 months	Reduction	B
Kallio et al (2006) [23]	5 months	Well	Well-baby clinic	Physician	16	No	8 years	Reduction, cessation	A,B
Krieger et al (2005) [24]	4-12 years	Asthmatic	Home	Research assistant	5-9	No	12 months	Reduction	B
Schuck et al (2014) [12]	9-12 years	Well	School	Quitline staff, Research assistant	7	No	12 months	Cessation	A,B,C
Severson et al (1997) [25]	<6 months	Well	Hospital and well-baby clinic	Physician	4	No	12 months	Reduction, cessation	A,B

**Table 1.** Characteristics of included studies

Study	Age of Child at Recruitment	Child Cohort	Setting	Provider	No. of Sessions	Theory Based	Length of Observation	Primary Goal	Intervention Components
Vineis et al (1993) [26]	0-3 months	Well	Well-baby clinic	Nurse	NR	No	2 years	Cessation	A,B
Wahlgren et al (1997) [27]	6-17 years	Asthmatic	Pediatric	Research assistant	6	Yes	2 years	Reduction	A,B
Wilson et al (2011) [28]	3-12 years	Asthmatic	Home	Research assistant	6	Yes	12 months	Reduction	B,C,E
Woodward et al (1987) [29]	Newborn	Well	Hospital	Research assistant	1	No	3 months	Reduction	A,C
Yilmaz et al (2006) [30]	<16 years	Well	Hospital	Nurse	1	No	6 months	Reduction, cessation	A,B
Zakarian et al (2004) [31]	<4 years	Well	Home	Clinic staff	7	Yes	12 months	Reduction	A,B,C

A, self-help materials; B, counseling; C, phone support; D, medication; E, biochemical

**Figure 1.** PRISMA flow chart of included studies

(RR = 1.22, 95%CI = 1.01 to 1.46,  $p$ -value = 0.04). The studies demonstrated moderate heterogeneity only ( $I^2 = 54\%$ ). Figure 3 shows the meta-analysis on quit rate.

To explore heterogeneity, subgroup analyses were conducted in the year of publication. The pooled RR

demonstrated that among studies published prior to year 2000, no significant difference was observed between parental smoking cessation program and control (RR = 1.02, 95%CI = 0.62 to 1.70,  $p$ -value = 0.93). The studies demonstrated low heterogeneity ( $I^2 = 45\%$ ). On the other hand, the pooled

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Abdullah 2005	+	+	-	+	+	+	+
Abdullah 2015	+	+	-	+	+	+	+
Borrelli 2016	+	+	-	+	+	+	+
Chan 2005	+	+	-	+	+	+	+
Chan 2016	+	+	-	+	+	+	+
Curry 2003	+	+	-	-	+	+	+
Eriksen 1996	+	+	-	-	+	+	+
Greenberg 1994	+	-	-	+	+	+	+
Hovell 2002	+	+	-	+	+	+	+
Hughes 1991	+	-	-	+	+	+	+
Kallio 2006	+	-	-	-	+	+	+
Krieger 2005	+	+	-	-	+	+	+
Schuck 2014	+	+	-	+	+	+	+
Severon 1997	+	-	-	-	+	+	+
Vineis 1993	+	+	-	+	+	+	+
Wahlgren 1997	+	-	-	-	+	+	+
Wilson 2011	+	+	-	+	+	+	+
Woodward 1987	+	-	-	-	+	+	+
Yilmaz 2006	+	+	-	+	+	+	+
Zakarian 2004	+	-	-	+	+	+	+

Figure 2. Risk of bias summary of included studies

RR demonstrated that among studies published after 2020, the parental smoking cessation program was significantly associated with higher quit rates (RR = 1.27, 95%CI = 1.03 to 1.56, p-value < 0.0001). The studies demonstrated high heterogeneity

(I<sup>2</sup> = 62%). Figure 4 shows the meta-analysis of effect on quit rates with sub-group by year published.

Sub-group analysis was also done on type of intervention. The pooled RR demonstrated that among studies with self-help interventions, the parental smoking cessation program has no additional benefit on quit rates (RR = 1.20, 95%CI = 0.94 to 1.58, p-value = 0.14). The studies demonstrated high heterogeneity (I<sup>2</sup> = 64%). Figure 5 shows meta-analysis of the effect on quit rates of studies with self-help interventions.

The pooled RR demonstrated that among studies with biofeedback intervention, no significant difference was observed between the parental smoking cessation program and control (RR = 1.27, 95%CI = 0.86 to 1.89, p-value = 0.23). The studies demonstrated low heterogeneity (I<sup>2</sup> = 0%). The meta-analysis regarding biofeedback intervention is shown in Figure 6.

Funnel plot analysis indicated no risk for publication bias (see Figure 7).

## DISCUSSION

Two of the most recent and high-quality studies included in this meta-analysis are described in the succeeding section. A longitudinal randomized trial was conducted to better understand the role of a teachable moment (TM) on the parents' motivation for smoking cessation [15]. The study's aims were as follows: (1) the TM: whether second-hand smoke exposure (SHSe) feedback motivates cessation in parents of children with asthma versus parents of healthy children; and (2) whether greater intervention intensity [enhanced-precaution adoption model (PAM)] is more effective than a previously tested intervention (PAM) in cessation. A TM is any life event or health event that has the potential to motivate behavior change. Included in the study done from 2007 to 2013 was a total of 560 smoking patients (341 were parents of children with asthma; 219 were parents of healthy children). The intervention that the participants obtained were two 1-hour educational home visits and smoking cessation induction counseling, nicotine patches if medically eligible and ready to quit within 30 days, and six 15-minute calls for 4 months after the home visits. After the second home visit, asthma participants were randomized to get either PAM or enhanced PAM. Primary outcome measures were 7-day point

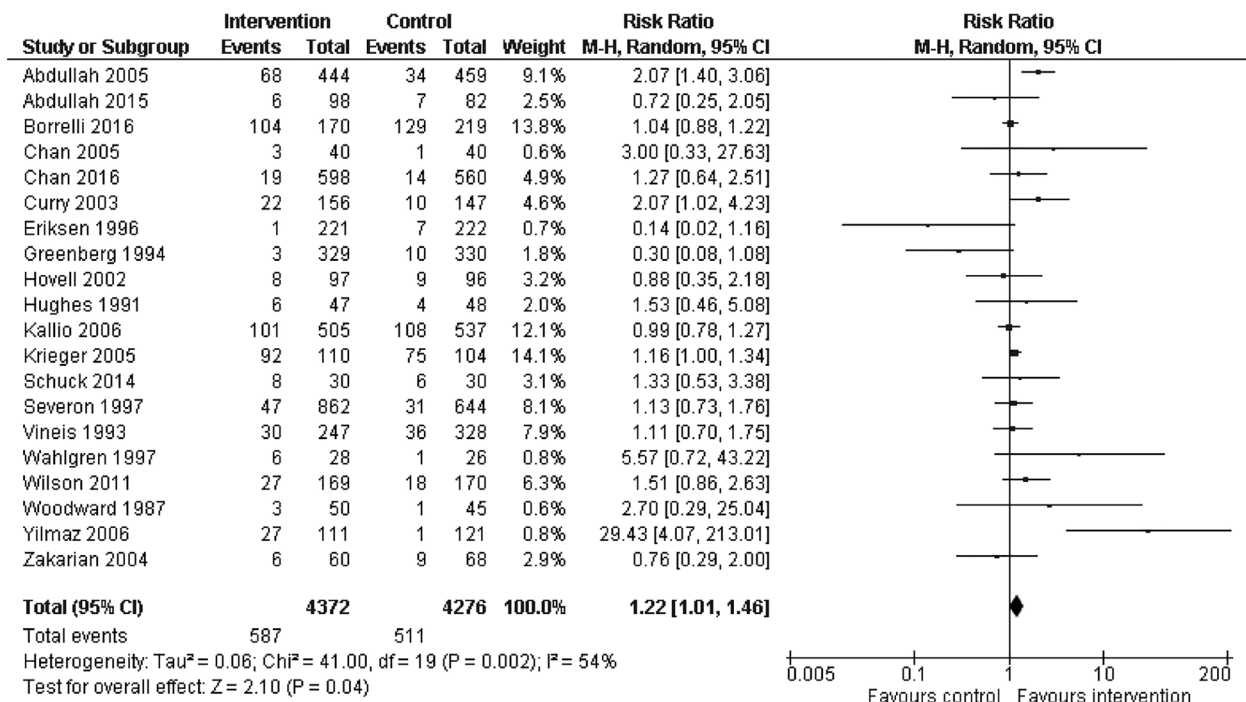


Figure 3. Meta-analysis of effect on quit rates

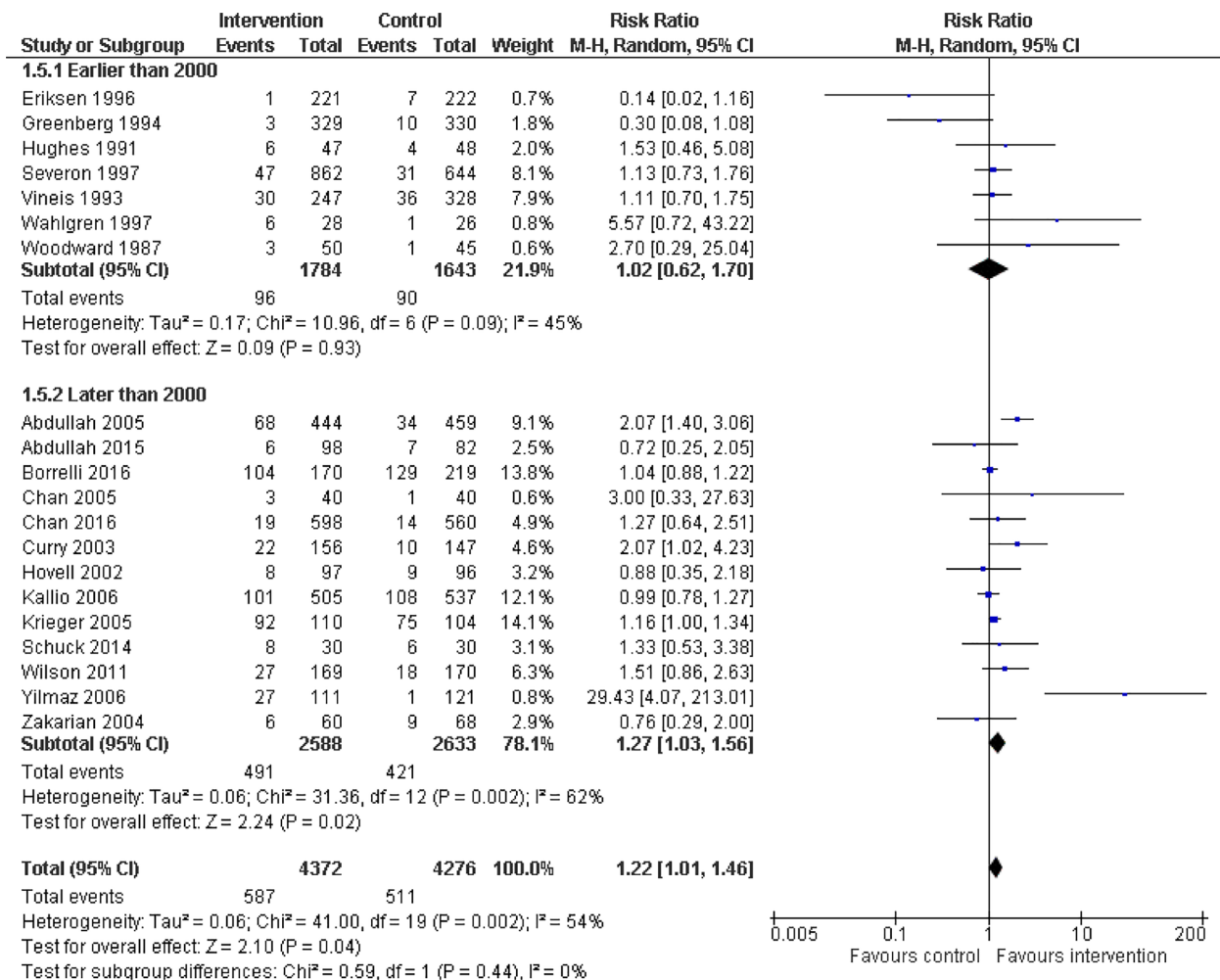


Figure 4. Meta-analysis of effect on quit rates with sub-group by year published

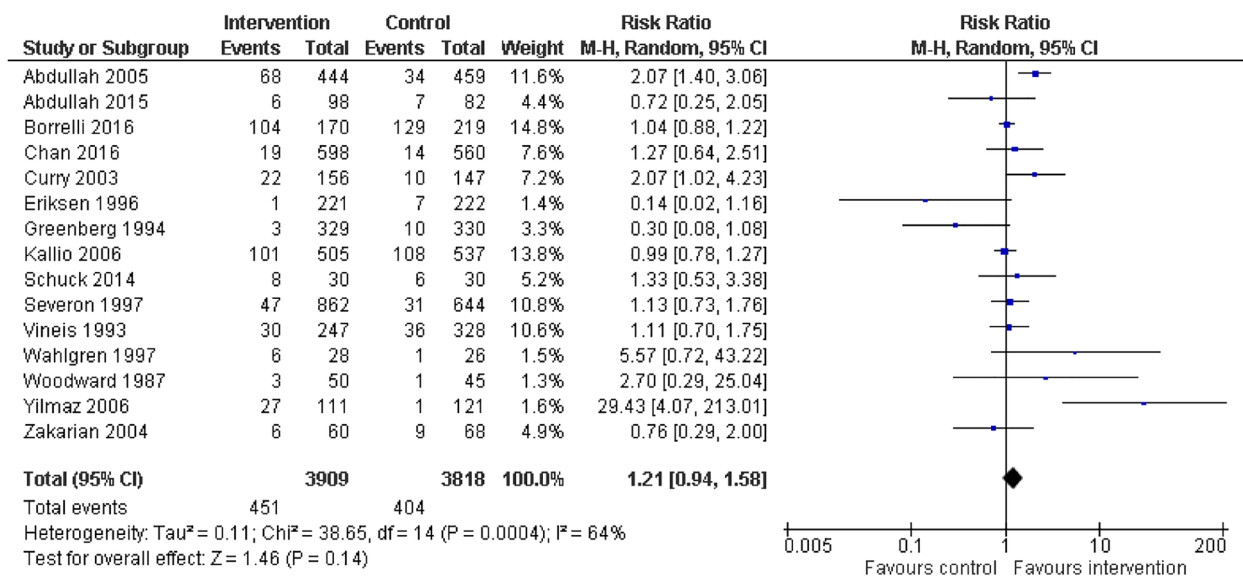


Figure 5. Meta-analysis of effect on quit rates of studies with self-help interventions

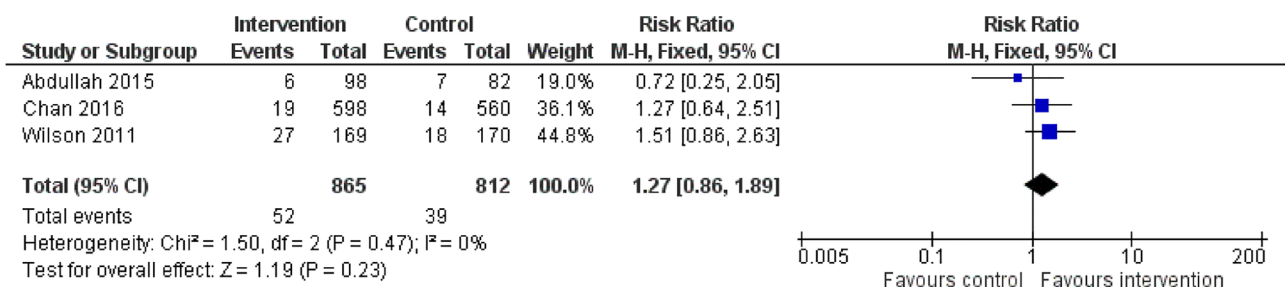


Figure 6. Meta-analysis of effect on quit rates of studies with biofeedback

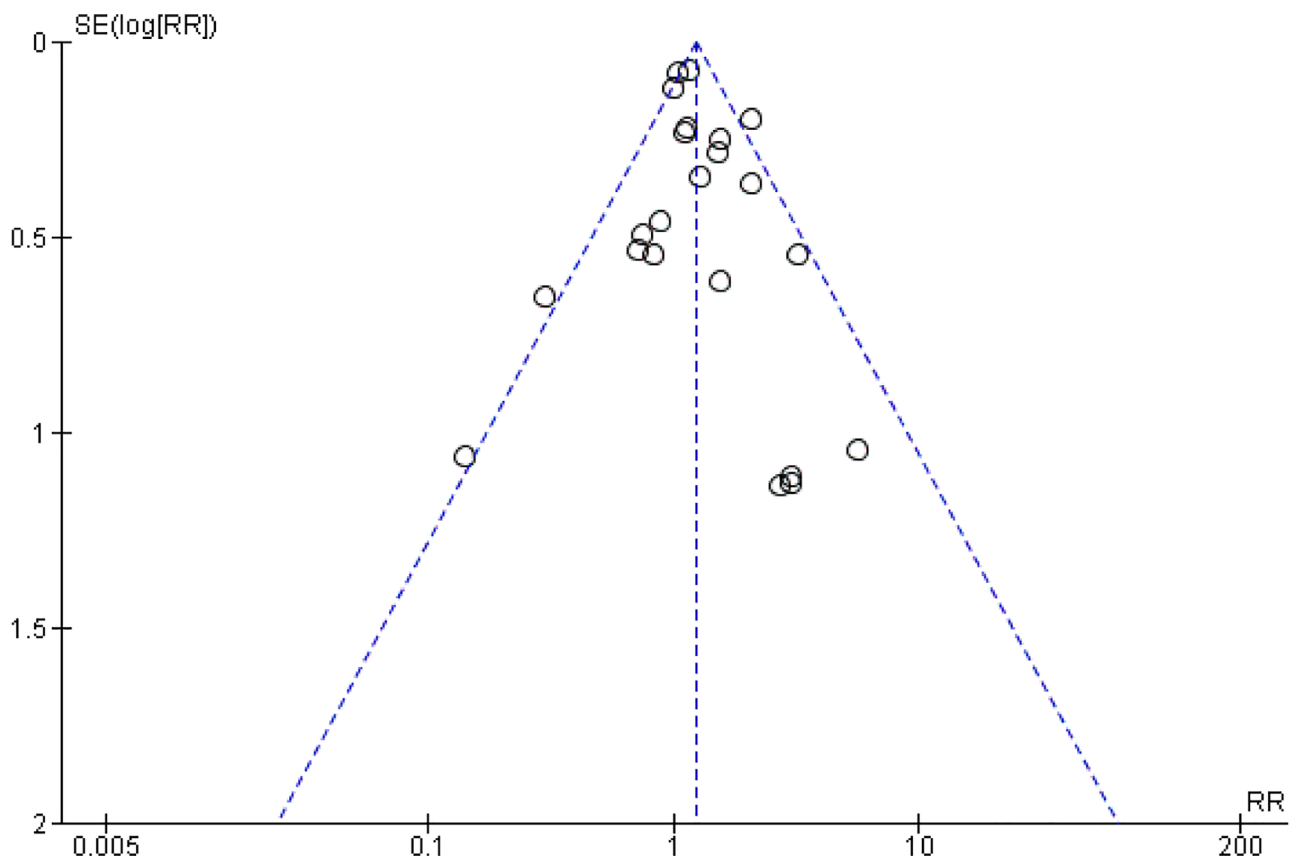


Figure 7. Funnel plot analysis on risk for publication bias



prevalence abstinence (PPA) and 30-day PPA. To quantify SHSe, two passive nicotine monitors were placed for 1 week during baseline and after call number 5, with one monitor in the room where the child spends most of his time and another monitor worn by the child. Secondary outcome measures include a number of asthma-related hospitalizations, school days missed due to asthma, days with asthma symptoms, and Asthma Functional Morbidity Scale score. Program satisfaction was also assessed. Also, for every questionnaire completed by participants at the following different time points (baseline, 2, 4, 6, and 12 months) \$20 were given.

Among the 560 participants, 40% were not ready to quit, 18% never tried to quit, 46% lived with another smoker, and 55% had a household smoking ban. There was no significant difference found on motivational interviewing indicators (global spirit, empathy, reflection to question ratio, percentage open-ended questions, and percentage adherent) when audio tapes from home visits were analyzed. Results of this study have shown evidence that providing an intervention after a TM motivates parental smoking cessation and decreases SHSe. Parents randomized to PAM were more than twice as likely to produce 7-day and 30-day PPA versus the same treatment provided to the parents of healthy children. Furthermore, stronger effects were found among parents randomized to enhanced PAM. These results also gave evidence to suggest that secondhand exposure feedback was motivated by TM, which resulted in increased cessation rates. It is to be noted that between PAM and enhanced PAM, no significant differences in terms of cessation rates, even after receiving the extra feedback, were observed. Moreover, the effect of the feedback was not sustained beyond the four months.[15]

The authors cited the following limitations of the study. There was the presence of some components that might not be feasible for routine clinical care (feedback on SHSe) that were included. Furthermore, due to the short half-life of 4 to 6 hours for carbon monoxide, the 7-day and 30-day PPA cannot be verified. The authors in the study were able to conclude that the use of motivational interviewing for smoking cessation, included during asthma education, was able to increase smoking cessation rates. Also, the enhanced PAM model used was effective in reducing SHSe and increased asthma care utilization.[15]

Another randomized clinical trial was performed to examine a family-based intervention in a Chinese context.[17] The objective of the study was to test the long-term efficacy of a family-based intervention, which includes nurse-led individual telephone counseling for the smoking father and nonsmoking mother and a family counseling session (FCS) discussing SHSe of their child and the father's smoking cessation. Non-smoking mothers were selected at recruitment sites, which are maternal and child health centers (MCHs) in Hong Kong. The mothers were randomized to two groups: the intervention group received an onsite counseling session, telephone counseling sessions, 2 self-help booklets on smoking cessation, follow-up sessions from the nurse counselor, and participated in the FCS; and the control group received a 2-page leaflet on the importance of establishing a smoke-free home, self-help smoking cessation pamphlet for the fathers, and brief advice. The primary outcome measure was father-reported PPA in the past 7 days and at 6 months follow-up. Secondary outcome measures included biochemically validated abstinence, self-reported abstinence for at least 24 hours, reduction in daily cigarette consumption, and infant's saliva cotinine concentrations at the 6- and 12-month follow-ups.

Results have shown that a higher number of fathers in the intervention group had a 7-day PPA compared to the control group at both 6-month and 12-month follow-ups. There was also an observed significant group difference in the fathers' reported smoking reduction by at least 50% (30.6% vs 24.1%) and quit attempts (22.0% vs 15.8%), but not fathers' abstinence as reported by the mothers (14.9% vs 12.1%). Group counseling via FCS was also effective, as fathers who participated in the FCS had higher 7-day PP quit rates at 12-month follow-up compared to the control group. In addition, mothers who participated in the FCS were more likely to help motivate the fathers, which in turn resulted in higher psychosocial support rates. Infant saliva cotinine levels showed no significant difference between groups at 6-month (1.01 ng/ml vs 0.86 ng/ml) and 12-month follow-ups (0.81 ng/ml vs 0.80 ng/ml). This shows that SHSe was not significant between the intervention and control groups.[17]

Limitations to the study include a high attrition rate, bias due to free nicotine replacement therapy (NRT) and cash incentive, substantial changes in

tobacco control policies, and low participation rate in biochemical validation of the father's abstinence.[17]

The authors concluded that the nurse-led family-based smoking cessation intervention was effective as it increased the father's long-term self-reported abstinence. Providing assistance to both smoking fathers and nonsmoking mothers is beneficial to protect their children from SHSe. In addition, the FCS attended by the couple further increased quit rates and the mothers' help and support to the fathers.[17]

Overall, this meta-analysis provided support in the use of non-pharmacologic interventions for parental smoking cessation. Using the GRADE quality of evidence, the results showed a moderate quality of evidence due to modest effect size (RR = 1.21, 95%CI = 1.09 to 1.33) and moderate heterogeneity ( $I^2 = 54\%$ ). Heterogeneity decreased in magnitude after sub-group analysis. Strengths of this study that supports confidence in the results include large overall sample size, large number of studies, and high methodologic quality of included studies. The current meta-analysis is also consistent with that conducted by Rosen, et al. [8], which observed modest effect size in favor of parental smoking cessation programs (RR = 1.34, 95%CI = 1.05 to 1.71). Their study had 18 trials but also included pharmacologic treatment. The current meta-analysis is unique as it focused on non-pharmacologic treatment only and conducted sub-group analysis in terms of year of publication and different intervention components. It was found out in the subgroup analysis that self-help interventions and biofeedback monitoring are not enough to be effective, thereby calling the need

for additional counseling interventions. Interestingly, studies published earlier than 2000 showed no significant benefit of parental smoking cessation programs. This shows that the intervention on counseling for smoking cessation may have evolved in terms of concepts and methodology to be more effective in recent years. Future studies may look at other factors which may affect quit rates in parental smoking cessation programs to further improve the effectiveness of this intervention.

## CONCLUSION AND RECOMMENDATION

This meta-analysis demonstrated sufficient evidence that non-pharmacologic interventions for parental smoking cessation are effective. Counseling intervention in addition to self-help interventions or biofeedback to prevent SHSe among children of smokers are highly recommended to facilitate quitting or abstinence. Further studies are recommended to evaluate the sustainability of parental smoking cessation programs and whether these interventions offer long-term benefits even after the interventions have ended.

## CONFLICT OF INTEREST STATEMENT

All authors (Drs. Stefanie Nichole Tan, Jose Caduhada, Ma. Teresa Tricia Bautista) declare that the research was done with no conflicts of interest to disclose.

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